



264 #5 BT  
11-13-02

Docket No. 4159-4005US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Roy Neff and Richard B. Himmelstein  
Group Art Unit: 2164  
Serial No.: 10/086,116  
Examiner: TBA  
Filed: February 26, 2002  
For: Electronic Bartering System With Facilitating Tools

CERTIFICATE OF MAILING

Commissioner Of Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the attached:

1. Information Disclosure Statement;
2. Form PTO-1449 (enclosing cited references);
3. Return Post Card

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents, Washington, D.C. 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Date: October 30, 2002

By:

Walter G. Hanchuk  
Registration No. 35,179

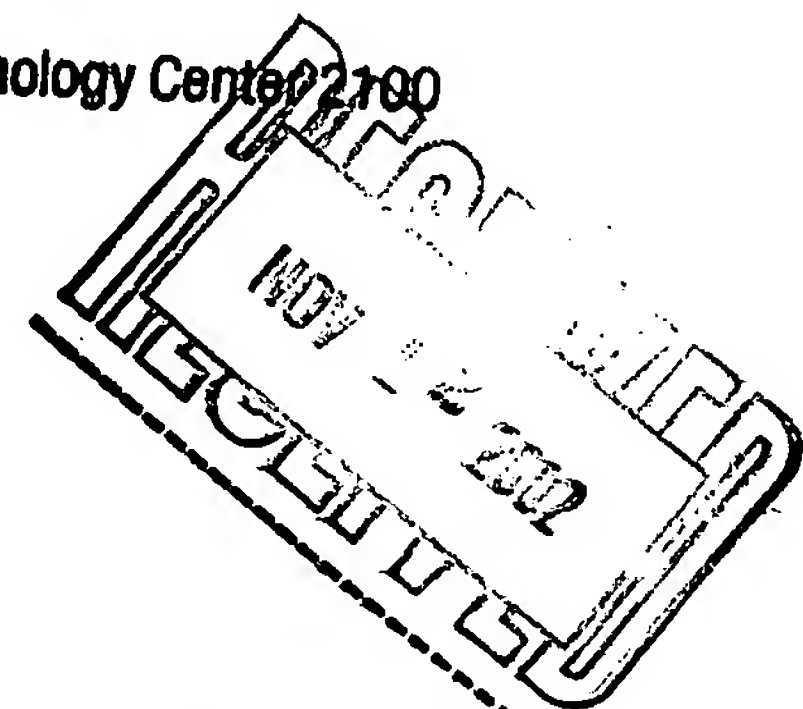
Mailing Address:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154  
(212) 758-4800 Telephone  
(212) 758-6849 Facsimile

RECEIVED

NOV 05 2002

Technology Center 2100



RECEIVED

NOV 06 2002

GROUP 3600



Docket No. 4159-4005US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Roy Neff & Richard B. Himmelstein

Group Art Unit: 2164

Serial No.: 10/086,116

Examiner:

Filed: February 26, 2002

For: Electronic Bartering System With Facilitating Tools

**RECEIVED**

**NOV 06 2002**

**GROUP 3600**

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, DC 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. \_\_\_\_\_, filed \_\_\_\_.

4. ☒ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
- ☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or
  - ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
  - ☒ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
  - ☐ 37 C.F.R. §1.97(b)(4), before the mailing date of a first office action after the filing of an RCE under §1.114.
5. ☒ I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
6. ☒ This document is accompanied by ☒ a Search Report ☐ Communication which was cited in a corresponding ☐ PCT or ☐ Foreign counterpart application
7. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500., Order No. 4159-4005US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Dated: October 30, 2002

By:

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Walter G. Hanchuk  
Registration No. 35,179

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile



FORM PTO-1449

## INFORMATION DISCLOSURE CITATION

Attorney Docket:  
4159-4005US1Serial No.:  
10/086,116Applicant:  
Roy Neff & Richard HimmelsteinFiling Date:  
02/26/02Group Art Unit:  
2164

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Name	Class	Sub-Class	Filing Date
	4,674,044 A	6/1987	Kalmus et al.			
	6,343,278 B1	1/2002	Jain et al.			
	6,405,180 B2	6/2002	Tilfors et al.			
	6,418,419 B1	7/2002	Nieboer et al.			

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Country	Class	Sub-Class	Translation
	WO 98/21667 A1	5/1998	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No

## OTHER DOCUMENTS (Including Author, Title, Date, etc.)


Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609.  
Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to Applicant.

RECEIVED  
NOV 06 2002  
GROUP 3600